

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298



July 28, 2022

Jonathan Morse
Sr. Manager - Rates & Regulatory
California-American Water Company
520 Capitol Mall Ste. 630
Sacramento, CA 95814

Dear Mr. Morse,

The Water Division of the California Public Utilities Commission has approved California-American Water Company's Advice Letter No. 1370, filed on May 9, 2022, regarding Schedule 14.1 – Northern Division Acquisitions and activating Stage 2 of the Water Shortage Contingency Plan for Meadowbrook, Fruitridge, and Hillview.

Enclosed are copies of the following revised tariff sheets, effective June 30, 2022, for the utility's files:

P.U.C. Sheet No.	Title of Sheet
10488-W	Rule No. 14.1., Water Shortage Contingency Plan All Areas Except Monterey Main System, Sheet 1
10489-W	Schedule No. 14.1 – FRT, Water Shortage Contingency Plan Fruitridge Vista Service Area, Sheet 1
10490-W	Schedule No. 14.1 – FRT, Water Shortage Contingency Plan Fruitridge Vista Service Area, Sheet 2
10491-W	Schedule No. 14.1 – FRT, Water Shortage Contingency Plan Fruitridge Vista Service Area, Sheet 3
10492-W	Schedule No. 14.1 – FRT, Water Shortage Contingency Plan Fruitridge Vista Service Area, Sheet 4
10493-W	Schedule No. 14.1 – FRT, Water Shortage Contingency Plan Fruitridge Vista Service Area, Sheet 5
10494-W	Schedule No. 14.1 – HILL, Water Shortage Contingency Plan Hillview Service Area, Sheet 1
10495-W	Schedule No. 14.1 – HILL, Water Shortage Contingency Plan Hillview Service Area, Sheet 2

P.U.C.

Sheet No.	Title of Sheet
10496-W	Schedule No. 14.1 – HILL, Water Shortage Contingency Plan Hillview Service Area, Sheet 3
10497-W	Schedule No. 14.1 – HILL, Water Shortage Contingency Plan Hillview Service Area, Sheet 4
10498-W	Schedule No. 14.1 – HILL, Water Shortage Contingency Plan Hillview Service Area, Sheet 5
10499-W	Schedule No. 14.1 – MDB, Water Shortage Contingency Plan Meadowbrook Service Area, Sheet 1
10500-W	Schedule No. 14.1 – MDB, Water Shortage Contingency Plan Meadowbrook Service Area, Sheet 2
10501-W	Schedule No. 14.1 – MDB, Water Shortage Contingency Plan Meadowbrook Service Area, Sheet 3
10502-W	Schedule No. 14.1 – MDB, Water Shortage Contingency Plan Meadowbrook Service Area, Sheet 4
10503-W	Schedule No. 14.1 – MDB, Water Shortage Contingency Plan Meadowbrook Service Area, Sheet 5
10504-W	Table Of Contents, Sheet 10
10505-W	Table Of Contents (Continued), Sheet 9
10506-W	Table Of Contents (Continued), Sheet 8
10507-W	Table Of Contents, Sheet 7
10508-W	Table Of Contents, Sheet 6
10509-W	Table Of Contents, Sheet 5
10510-W	Table Of Contents, Sheet 1

Please contact Bradley Leong at BL4@cpuc.ca.gov or 415-703-2307, if you have any questions.

Thank you.

Enclosures



4701 Beloit Drive
Sacramento, CA 95838

P (916)-568-4251
F (916) 568-4260
www.amwater.com

May 9, 2022

ADVICE LETTER NO. 1370

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter, including the following tariff sheets applicable to the Meadowbrook, Fruitridge Vista, and Hillview service areas.

Purpose:

The purpose this Advice Letter is to include the Meadowbrook, Fruitridge, and Hillview services areas in Rule 14.1, establish Schedule 14.1 for each of the three service areas and to activate the Stage 2 water use restrictions as described in the Water Shortage Contingency Plan in Section D of Rule 14.1 for each service area.

Request:

The Advice Letter requests the following:

- (1) establishment of Schedule 14.1- MDB - Water Shortage Contingency Plan for California American Water's Meadowbrook service area;
- (2) establishment of Schedule 14.1 – FRT - Water Shortage Contingency Plan for California American Water's Fruitridge service area; and
- (3) establishment of Schedule 14.1 – HILL - Water Shortage Contingency Plan for California American Water's Hillview service area;
- (4) activation of Stage 2 of the Water Shortage Contingency Plan as authorized per Rule 14.1 and Schedule 14.1 for Meadowbrook, Fruitridge, and Hillview; and
- (5) modification of Rule 14.1 to include Meadowbrook, Fruitridge and Hillview.

Background

Prior Implementation of Rule 14.1 Water Shortage Contingency Plan

California American Water's Rule 14.1 was approved via Advice Letter 831, with an effective date of October 17, 2008. Rule 14.1 has been updated several times since then, including most recently in June 2021 through Advice Letter 1354.

California American Water's Meadowbrook service area is geographically located within Merced County. California American Water's Fruitridge service area is geographically located in the Sacramento area. The Hillview service area is geographically located in Madera County but is part of the Sacramento tariff area for ratemaking purposes.

Rule 14.1 provides that California American Water may file a Tier 2 advice letter to designate a particular stage of its Water Shortage Contingency Plan.

Current Water Supply Conditions and the Need to Implementation of Stage 2

On October 19, 2021, Governor Gavin Newsom issued a Proclamation of a State of Emergency due to drought for the entire state and urged Californians to step up their water conservation efforts as the western U.S. was faced with a third dry year. The Proclamation, which is still in effect, asked local water suppliers to activate water shortage contingency plans at a level appropriate for local supply conditions.

On March 28, 2022, Governor Gavin Newsom issued Executive Order N-7-22, calling on all Californians to limit water use and use water more efficiently, and asking the State Water Resources Control Board to consider adopting emergency regulations that require calling on water providers to activate their customized Water Shortage Contingency Plans and move to "Level 2." The Executive Order comes after the driest first three months of a year in recorded California history and follow drought-related proclaimed states of emergency that continue today in all counties across the state.

Water Shortage Contingency Plans, required by state law, are developed by local water utilities to navigate drought and each plan is customized based on an agency's unique infrastructure and management. Triggering Level 2 of these plans involves implementing water conservation actions, like mandatory watering/irrigation schedules, to prepare for a water shortage level of up to 20 percent. In response to the Executive Order and in anticipation of the State Water Resources Control Board mandating the move to Stage 2,¹ California American Water is requesting authorization to activate Stage 2 of its Water Shortage Contingency plan and is asking customers to avoid prohibited uses of water, fix leaks promptly, and reduce water use outdoors.

Customer Noticing

In terms of customer outreach, the Public Advisor's Office has recently reviewed and approved notices activating Stage 2 for other service areas and California American Water has prepared similar draft customer notices for the Meadowbrook, Fruitridge, and Hillview service areas. California American Water notices contain updates and detailed information about Rule and Schedule 14.1, for its Meadowbrook, Fruitridge, and Hillview service area customers. California American Water anticipates mailing this notice to customers on or around May 17, 2022 and placing newspaper notices on or around June 1, 2022. Virtual public hearings for each service area are set for June 14, 2022 to discuss the filing and get customer input. Information on the virtual public hearing is provided in the customer notice. Additionally, California American Water has been communicating with customers about the drought and the programs we have available through direct mail, bill inserts, earned media and social media.

Tier Designation:

¹ The State Water Resources Control Board has indicated that it may require implementation of Stage 2 by June 10, 2022, but that deadline has not yet been finalized.

These tariffs are submitted pursuant to General Order No. 96-B and this advice letter is designated as a Tier 2 filing. California American Water is simply requesting an update of its tariffs so that it is in compliance with Executive Order B-40-17.

Effective Date:

California American requests an effective date of June 30, 2022.

Service List:

Service Lists – In accordance with General Rules 4.3 and 7.2, and Water Industry Rule 4.1, of General Order (GO) 96-B, Cal-Am served copies of AL 1370 to adjacent utilities and other parties requesting such notification, on April 12, 2022. Please note that, consistent with the Commission's guidelines for service during the COVID-19 pandemic, this advice letter is only being distributed electronically.

RESPONSE OR PROTEST²

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds³ are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.
7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (**or** postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

Email Address:

Mailing Address:

² G.O. 96-B, General Rule 7.4.1

³ G.O. 96-B, General Rule 7.4.2

Water.Division@cpuc.ca.gov

CA Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

Email Address:

ca.rates@amwater.com

preet.nagra@amwater.com

sarah.leeper@amwater.com

Mailing Address:

4701 Beloit Drive
Sacramento, CA 95838

4701 Beloit Drive
Sacramento, CA 95838

555 Montgomery Street, Ste. 916
San Francisco, CA 94111

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES⁴

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Preet Nagra

Preet Nagra
Operations Specialist

⁴ G.O. 96-B, General Rule 7.4.3

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
10488-W	Rule No. 14.1. WATER SHORTAGE CONTINGENCY PLAN ALL AREAS EXCEPT MONTEREY MAIN SYSTEM Sheet 1	10323-W
10489-W	Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA Sheet 1	
10490-W	Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA Sheet 2	
10491-W	Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA Sheet 3	
10492-W	Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA Sheet 4	
10493-W	Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA Sheet 5	
10494-W	Schedule No. 14.1 - HILL WATER SHORTAGE CONTINGENCY PLAN HILLVIEW SERVICE AREA Sheet 1	
10495-W	Schedule No. 14.1 - HILL WATER SHORTAGE CONTINGENCY PLAN HILLVIEW SERVICE AREA Sheet 2	
10496-W	Schedule No. 14.1 - HILL WATER SHORTAGE CONTINGENCY PLAN HILLVIEW SERVICE AREA Sheet 3	
10497-W	Schedule No. 14.1 - HILL WATER SHORTAGE CONTINGENCY PLAN HILLVIEW SERVICE AREA Sheet 4	
10498-W	Schedule No. 14.1 - HILL WATER SHORTAGE CONTINGENCY PLAN HILLVIEW SERVICE AREA Sheet 5	

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
10499-W	SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA Sheet 1	
10500-W	SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA Sheet 2	
10501-W	SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA Sheet 3	
10502-W	SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA Sheet 4	
10503-W	SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA Sheet 5	
10504-W	TABLE OF CONTENTS Sheet 10	
10505-W	TABLE OF CONTENTS (Continued) Sheet 9	10472-W
10506-W	Table of Contents (Continued) Sheet 8	10154-W
10507-W	TABLE OF CONTENTS Sheet 7	10155-W
10508-W	TABLE OF CONTENTS Sheet 6	10473-W
10509-W	TABLE OF CONTENTS Sheet 5	10486-W
10510-W	TABLE OF CONTENTS Sheet 1	10487-W

Rule No. 14.1.

Sheet 1

**WATER SHORTAGE CONTINGENCY PLAN
ALL AREAS EXCEPT MONTEREY MAIN SYSTEM**

A utility's water supply may be interrupted or reduced due to a variety of circumstances, for instance, a drought or a catastrophic event, such as an earthquake or fire that damages water delivery and storage facilities, or a power outage that affects water treatment or the pumping of water to customers, or in response to legal or court-imposed restrictions. This Water Shortage Contingency Plan ("Plan") enables the utility to respond effectively to a wide variety of water supply conditions or catastrophic events that cause severe water shortages from time to time.

A. GENERAL INFORMATION:

This rule is applicable within all systems served by California American Water Company and are listed on their tariffs except the Monterey Main System in Monterey County. Systems covered by this rule in the Southern Division include the districts of San Diego County District, the Los Angeles County District, comprised of the Duarte, San Marino, and Baldwin Hills Districts, the Ventura County District, the Rio Plaza and the East Pasadena Service Areas. It also covers the Northern Division districts of Fruitridge Vista, Hillview, Meadowbrook, Larkfield and Sacramento, which includes Dunnigan and Geyserville. This rule is also applicable to the Central Satellite systems in the Central Division which include the Toro, Ambler Park, Ralph Lane, Garrapata, and Chualar service areas. The Monterey Main System in Monterey County is included in a separate Water Shortage Contingency Plan.

(T)
(T)

Should supply conditions or government directives dictate, prior to, or in response to, a declaration of emergency issued by a water wholesaler or other government agency, a utility may request permission from the Commission to add a Schedule 14.1 – Staged Water Contingency Plan for one or more of the above districts, which sets forth charges for water waste and drought surcharge rates applicable to quantities of water consumed.

After Schedule No. 14.1 has been activated and the utility determines that water supplies are again sufficient to meet demands, and staged reduction measures are no longer necessary, the utility shall seek Commission authority via a Tier 1 advice letter to de-activate the particular stage of reduction that was previously authorized.

Unless otherwise specified in the Rule or Schedule 14.1, all expenses incurred by utility to implement Rule 14.1 and Schedule 14.1 and requirements of the California State Water Resources Control Board ("SWRCB") that have not been considered in a General Rate Case or other proceeding shall be accumulated in a separate memorandum account, authorized in Resolution W-4976, for disposition as directed or authorized from time to time by the Commission.

To the extent that a Stage of Water Shortage Contingency Plan in Schedule 14.1 has been activated, and a provision of this Rule is inconsistent with the activated Stage in Schedule 14.1, the provisions of Schedule 14.1 apply.

The utility shall make available to its customers water conservation kits as required by Rule 21. The utility shall notify all customers, at least annually, of the availability of conservation kits and other helpful information via bill inserts or direct mailers.

(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)	
Advice	1370	J. T. LINAM	Date Filed	<u>05/09/2022</u>
Decision		DIRECTOR - Rates & Regulatory	Effective	<u>06/30/2022</u>
			Resolution	<u> </u>

655 W. Broadway, Suite 1410
San Diego, CA 92101

Schedule No. 14.1 - FRT
WATER SHORTAGE CONTINGENCY PLAN
FRUITRIDGE VISTA SERVICE AREA

Sheet 1

A. APPLICABILITY

1. This schedule applies to all water customers served under all tariff schedules authorized by the Commission for Fruitridge Vista Service Area. It is only effective in times of implementation of the Water Shortage Contingency Plan enforcement stages, as required by Rule No. 14.1, and only for the period noted in the Special Conditions section below.
2. This Schedule shall remain dormant until activated by Commission authorization via a Tier 2 advice letter.
3. Once the Schedule is activated, utility can implement Stages of the Schedule by filing a Tier 2 advice letter
4. When this schedule is activated, it shall remain in effect until the utility files a Tier 1 advice letter to deactivate a specific stage of the Water Shortage Contingency Plan and such is authorized by the Commission.

B. TERRITORY

1. This Schedule applies to all customers in the Fruitridge Vista Service Area. All other customers served by California-American Water Company are excluded from this particular tariff but are included in separate and distinct Water Shortage Contingency Plans.

C. STAGES

1. Stage 1 of the Water Shortage Contingency Plan enacts water conservation requirements established in Rule 14.1 Section D. The non-essential or unauthorized water uses in Section D are in effect at all times.
2. Stage 2 First Enforcement Stage of the Water Shortage Contingency Plan - A Stage 2 Water Shortage Contingency Plan condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 2 of the Water Shortage Contingency Plan will be enacted upon a determination that water usage should be further reduced from current levels, that a temporary water emergency exists necessitating implementation or that the requirements of Stage 1 are ineffective in complying with the necessary reduction.
3. Stage 3 Second Enforcement Stage of the Water Shortage Contingency Plan - A Stage 3 Water Shortage Contingency Plan Condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 3 will be enacted upon a determination that water usage should be reduced further from current levels, that a temporary water emergency exists necessitating implementation or that the requirements in Stages 1 and 2 are ineffective in complying with the necessary reduction.

(N)

(N)

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1370	J. T. LINAM	Date Filed <u>05/09/2022</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>06/30/2022</u>
		Resolution _____

Schedule No. 14.1 - FRT
 WATER SHORTAGE CONTINGENCY PLAN
FRUITRIDGE VISTA SERVICE AREA

Sheet 2

C. STAGES (Continued)

4. Stage 4 Third Enforcement Stage of the Water Shortage Contingency Plan - A Stage 4 Water Shortage Contingency Plan condition is also referred to as an "Emergency" condition. A Stage 4 condition exists when it is determined that a critical water shortage emergency exists, or that the measures in Stages 1 through 3 are ineffective in complying with a necessary reduction.

5. Stage 5 Mandatory Rationing. A rationing plan will be implemented when it is determined that the efforts in Stage 4 are insufficient to meet the regulatory or physical limitations of the available water supply.

D. WATER USE VIOLATION FINE

1. When an Enforcement Stage of the Water Shortage Contingency Plan has been activated by Commission authorization, the water use restrictions of Stage 1 in the Water Shortage Contingency Plan in Section D of Rule 14.1 become subject to fines and penalties imposed by the utility. The utility will first work closely with local law enforcement and public agencies charged with enforcing the mandatory water use restrictions. However, should the utility find that the local agency is not effectively enforcing the mandatory use restrictions, the utility, after written warnings, such as door hangers and letters, may begin to issue fines. If a customer is seen violating the water use restrictions, as outlined in Rule No. 14.1 and the Special Conditions below, the customer will be subject to the following fine structure:
 - a. First offense: Written warning, including explanation of penalty for subsequent offense.
 - b. Second offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and \$100 fine.
 - c. Third offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$250 fine.
 - d. Fourth offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$500 fine.
 - e. Fifth offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and service termination pursuant to Rule 11 and a \$500 fine.
 - f. Sixth offense within 1 year. (of the same restriction): Installation of a flow restricting device on customer's water meter for duration of enforcement stage of the Water Contingency Plan.

2. Offenses for separate water use restrictions will each start at the warning stage.

3. The water use violation fine is in addition to the regular rate schedule charges and any applicable drought surcharge rates.

(N)

(N)

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1370	J. T. LINAM	Date Filed <u>05/09/2022</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>06/30/2022</u>
		Resolution _____

655 W. Broadway, Suite 1410

San Diego, CA 92101

Schedule No. 14.1 - FRT
WATER SHORTAGE CONTINGENCY PLAN
FRUITRIDGE VISTA SERVICE AREA

Sheet 3

E. APPLICABLE PENALTY RATES

- 1. No drought surcharge rates will be applicable to Fruitridge Vista customers until meters are installed and customers begin billing under the volumetric tariff.

F. ENFORCEMENT

- 1. Letter/Fine: From second violation of the same restriction within a one year period and onwards, a violation letter will be posted on property and sent to billing address, if different.
- 2. Aging of violation: Violations will accrue for the period of one year and be considered corrected and expunged one year after the violation occurs. The purpose of this rule is to prevent discrete violations from accruing in the event of a multi-year enforcement of the Water Contingency Plan.

(N)

(N)

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1370

J. T. LINAM

Date Filed 05/09/2022

Decision

DIRECTOR - Rates & Regulatory

Effective 06/30/2022

Resolution _____

Schedule No. 14.1 - FRT
 WATER SHORTAGE CONTINGENCY PLAN
FRUITRIDGE VISTA SERVICE AREA

Sheet 4

3. Applies to all Enforcement Stages of Water Shortage Contingency Plan.

(N)

	Violation 1	Violation 2 (of the same restriction)	Violation 3 (of the same restriction)	Violation 4 (of the same restriction)	Violation 5/6 ⁽²⁾ (of the same restriction)
Proof of violation	Employee or Customer reports, with no additional verification required	Verification with a written report by employee or contractor of CAW	Verification with a written report by employee or contractor of CAW	Verification with a written report by employee or contractor of CAW	Verification with a written report by employee or contractor of CAW
Letter/fine	Warning letter mailed to premise and billing address	Violation letter posted and mailed with \$100 penalty on next bill	Violation letter posted and mailed with \$250 penalty on next bill	Violation letter posted and mailed with \$500 penalty on next bill	Violation letter posted and mailed, shut off per Rule 11 and \$500 penalty on next bill
Fixing leaks Stage 1 Stage 2 Stage 3 Stage 4	Customer has: 5 days 72 hours 24 hours Immediate	Customer has: 5 days 72 hours 24 hours Immediate	Customer has: 5 days 72 hours 24 hours Immediate	Customer has: 5 days 72 hours 24 hours Immediate	Customer has: 5 days 72 hours 24 hours Immediate
Time to correct violation	5 days	5 days	5 days	5 days	5 days
Time customer has to request variance of the alleged violation	14 days to contact CAW in writing	14 days to contact CAW in writing	10 days to file an appeal with CAW in writing	10 days to file an appeal with CAW in writing	10 days to file an appeal with CAW in writing
If the customer does not agree with CAW's resolution ⁽¹⁾ Reference Section K of Rule 14.1	Further reported violations of the same restricted use will not be counted in the determination of further action until one week after the variance request is resolved	If the customer disagrees with CAW's resolution, they may file a formal complaint with the CPUC	If the customer disagrees with CAW's resolution, they may file a formal complaint with the CPUC	If the customer disagrees with CAW's resolution, they may file a formal complaint with the CPUC	If the customer disagrees with CAW's resolution, they may file a formal complaint with the CPUC

⁽¹⁾ If a customer has appealed the receipt of the penalty, the penalty will continue to be posted on the customer's account, but will not result in further service action, until at least 14 days after the resolution of appeals. Once resolved, if in the customers favor, the penalty will be immediately removed from the account. If not resolved in the customers favor, then the penalty will be due and payable as part of the next billing cycle and subject to all such further actions as with any other billed charge.

⁽²⁾ For violation 6 instead of shut-off per Rule 11 and \$500 penalty, a flow restrictor will be installed for duration of enforcement.

(N)

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
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San Diego, CA 92101

Schedule No. 14.1 - FRT
 WATER SHORTAGE CONTINGENCY PLAN
FRUITRIDGE VISTA SERVICE AREA

Sheet 5

G. FLOW RESTRICTOR REMOVAL CHARGE

(N)

The charge for removal of a flow-restricting device and/or reconnecting water service shall be:

Connection Size	Removal Charges
5/8" to 1"	\$150.00
1-1/2" to 2"	\$200
3" and larger	Actual Cost

H. SPECIAL CONDITIONS:

1. The Tier 2 advice letter requesting activation of any Enforcement Stage of Schedule 14.1 shall include documentation of the overall water shortage justifying activation of that particular stage.
2. This tariff schedule shall remain in effect until the utility files a Tier 1 advice letter to deactivate specific stage of Water Shortage Contingency Plan and such is authorized by the Commission.
3. Water use violation fines must be separately identified on each bill.
4. Water penalty surcharges must be separately identified on each bill.
5. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
6. All monies collected by the utility through drought surcharges or penalties or fees for water use violations shall be booked to the Water Revenue Adjustment Mechanism (WRAM) or a memorandum account to offset recovery of lost revenues. All flow restrictor removal charges collected by the utility and all expenses incurred by the utility to implement Rule 14.1 and Schedule 14.1, and the requirements of the California State Water Board Resources Control Board ("SWRCB"), or other agencies, that have not been considered in a General Rate Case or other proceeding, shall be tracked in a memorandum account for disposition as directed or authorized from time to time by the Commission and shall be recoverable by the utility if determined to be reasonable by the Commission.

(N)

(Continued)

(TO BE INSERTED BY UTILITY) Advice 1370 Decision	ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory	(TO BE INSERTED BY C.P.U.C.) Date Filed <u>05/09/2022</u> Effective <u>06/30/2022</u> Resolution
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Schedule No. 14.1 - HILL
WATER SHORTAGE CONTINGENCY PLAN
HILLVIEW SERVICE AREA

A. APPLICABILITY

(N)

- 1. This schedule applies to all water customers served under all tariff schedules authorized by the Commission for the Hillview Service Area. It is only effective in times of implementation of the Water Shortage Contingency Plan enforcement stages, as required by Rule No. 14.1, and only for the period noted in the Special Conditions section below.
- 2. This Schedule shall remain dormant until activated by Commission authorization via a Tier 2 advice letter.
- 3. Once the Schedule is activated, utility can implement Stages of the Schedule by filing a Tier 2 advice letter
- 4. When this schedule is activated, it shall remain in effect until the utility files a Tier 1 advice letter to deactivate a specific stage of the Water Shortage Contingency Plan and such is authorized by the Commission.

B. TERRITORY

- 1. This Schedule applies to all customers in the Hillview Service Area. All other customers served by California-American Water Company are excluded from this particular tariff, but are included in separate and distinct Water Shortage Contingency Plans.

C. STAGES

- 1. Stage 1 of the Water Shortage Contingency Plan enacts water conservation requirements established in Rule 14.1 Section D. The non-essential or unauthorized water uses in Section D are in effect at all times.
- 2. Stage 2 First Enforcement Stage of the Water Shortage Contingency Plan - A Stage 2 Water Shortage Contingency Plan condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 2 of the Water Shortage Contingency Plan will be enacted upon a determination that water usage should be further reduced from current levels, that a temporary water emergency exists necessitating implementation or that the requirements of Stage 1 are ineffective in complying with the necessary reduction.
- 3. Stage 3 Second Enforcement Stage of the Water Shortage Contingency Plan - A Stage 3 Water Shortage Contingency Plan Condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 3 will be enacted upon a determination that water usage should be reduced further from current levels, that a temporary water emergency exists necessitating implementation or that the requirements in Stages 1 and 2 are ineffective in complying with the necessary reduction.

(N)

(Continued)

(TO BE INSERTED BY UTILITY) Advice 1370 Decision	ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory	(TO BE INSERTED BY C.P.U.C.) Date Filed <u>05/09/2022</u> Effective <u>06/30/2022</u> Resolution _____
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Schedule No. 14.1 - HILL
 WATER SHORTAGE CONTINGENCY PLAN
HILLVIEW SERVICE AREA

Sheet 2

C. STAGES

4. Stage 4 Third Enforcement Stage of the Water Shortage Contingency Plan - A Stage 4 Water Shortage Contingency Plan condition is also referred to as an "Emergency" condition. A Stage 4 condition exists when it is determined that a critical water shortage emergency exists, or that the measures in Stages 1 through 3 are ineffective in complying with a necessary reduction.
5. Stage 5 Mandatory Rationing. A rationing plan will be implemented when it is determined that the efforts in Stage 4 are insufficient to meet the regulatory or physical limitations of the available water supply.

D. WATER USE VIOLATION FINE

1. When an Enforcement Stage of the Water Shortage Contingency Plan has been activated by Commission authorization, the water use restrictions of Stage 1 in the Water Shortage Contingency Plan in Section D of Rule 14.1 become subject to fines and penalties imposed by the utility. The utility will first work closely with local law enforcement and public agencies charged with enforcing the mandatory water use restrictions. However, should the utility find that the local agency is not effectively enforcing the mandatory use restrictions, the utility, after written warnings, such as door hangers and letters, may begin to issue fines. If a customer is seen violating the water use restrictions, as outlined in Rule No. 14.1 and the Special Conditions below, the customer will be subject to the following fine structure:
 - a. First offense: Written warning, including explanation of penalty for subsequent offense.
 - b. Second offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and \$100 fine.
 - c. Third offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$250 fine.
 - d. Fourth offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$500 fine.
 - e. Fifth offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and service termination pursuant to Rule 11 and a \$500 fine.
 - f. Sixth offense within 1 year. (of the same restriction): Installation of a flow restricting device on customer's water meter for duration of enforcement stage of the Water Contingency Plan.
2. Offenses for separate water use restrictions will each start at the warning stage.
3. The water use violation fine is in addition to the regular rate schedule charges and any applicable drought surcharge rates.

(N)

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Schedule No. 14.1 - HILL
WATER SHORTAGE CONTINGENCY PLAN
HILLVIEW SERVICE AREA

Sheet 3

E. APPLICABLE PENALTY RATES

1. When in Stage 3 of the Water Shortage Contingency Plan - a surcharge rate will be added to all residential water usage in excess of 8 CCF. The surcharge rate will be equal to the Tier 3 residential rate in effect at the time Stage 3 is enacted. The surcharge rate for low-income customers will be 50% of the Stage 3 surcharge rate. For all other customers there will be no surcharge imposed at Stage 3.
2. When in Stage 4 of the Water Shortage Contingency Plan – The Stage 3 residential drought surcharge rates will be tripled for all usage in excess of 8 CCF in Stage 4. The surcharge rate for low-income customers will be 50% of the residential Stage 4 surcharge rate. All other customers will pay a drought surcharge rate of 25% of the regular rate on all usage in Stage 4.
3. Rule 14.1 includes provisions to allow customers to seek a variance to the drought surcharge rates. Those residential customers who prevail in their request for a variance will receive a 50% increase in the amount of usage not subject to the surcharge rate. The usage not subject to the surcharge rate would be increased from 8 CCF to 12 CCF.

F. ENFORCEMENT

1. Letter/Fine: From second violation of the same restriction within a one-year period and onwards, a violation letter will be posted on property and sent to billing address, if different.
2. Aging of violation: Violations will accrue for the period of one year and be considered corrected and expunged one year after the violation occurs. The purpose of this rule is to prevent discrete violations from accruing in the event of a multi-year enforcement of the Water Contingency Plan.

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(TO BE INSERTED BY UTILITY)

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Advice 1370

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Schedule No. 14.1 - HILL
 WATER SHORTAGE CONTINGENCY PLAN
HILLVIEW SERVICE AREA

Sheet 4

3. Applies to all Enforcement Stages of Water Shortage Contingency Plan.

(N)

	Violation 1	Violation 2 (of the same restriction)	Violation 3 (of the same restriction)	Violation 4 (of the same restriction)	Violation 5/6 ⁽²⁾ (of the same restriction)
Proof of violation	Employee or Customer reports, with no additional verification required	Verification with a written report by employee or contractor of CAW	Verification with a written report by employee or contractor of CAW	Verification with a written report by employee or contractor of CAW	Verification with a written report by employee or contractor of CAW
Letter/fine	Warning letter mailed to premise and billing address	Violation letter posted and mailed with \$100 penalty on next bill	Violation letter posted and mailed with \$250 penalty on next bill	Violation letter posted and mailed with \$500 penalty on next bill	Violation letter posted and mailed, shut off per Rule 11 and \$500 penalty on next bill
Fixing leaks Stage 1 Stage 2 Stage 3 Stage 4	Customer has: 5 days 72 hours 24 hours Immediate	Customer has: 5 days 72 hours 24 hours Immediate	Customer has: 5 days 72 hours 24 hours Immediate	Customer has: 5 days 72 hours 24 hours Immediate	Customer has: 5 days 72 hours 24 hours Immediate
Time to correct violation	5 days	5 days	5 days	5 days	5 days
Time customer has to request variance of the alleged violation	14 days to contact CAW in writing	14 days to contact CAW in writing	10 days to file an appeal with CAW in writing	10 days to file an appeal with CAW in writing	10 days to file an appeal with CAW in writing
If the customer does not agree with CAW's resolution ⁽¹⁾ Reference Section K of Rule 14.1	Further reported violations of the same restricted use will not be counted in the determination of further action until one week after the variance request is resolved	If the customer disagrees with CAW's resolution, they may file a formal complaint with the CPUC	If the customer disagrees with CAW's resolution, they may file a formal complaint with the CPUC	If the customer disagrees with CAW's resolution, they may file a formal complaint with the CPUC	If the customer disagrees with CAW's resolution, they may file a formal complaint with the CPUC

⁽¹⁾ If a customer has appealed the receipt of the penalty, the penalty will continue to be posted on the customer's account, but will not result in further service action, until at least 14 days after the resolution of appeals. Once resolved, if in the customers favor, the penalty will be immediately removed from the account. If not resolved in the customers favor, then the penalty will be due and payable as part of the next billing cycle and subject to all such further actions as with any other billed charge.

⁽²⁾ For violation 6 instead of shut-off per Rule 11 and \$500 penalty, a flow restrictor will be installed for duration of enforcement.

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655 W. Broadway, Suite 1410

San Diego, CA 92101

Schedule No. 14.1 - HILL
 WATER SHORTAGE CONTINGENCY PLAN
HILLVIEW SERVICE AREA

Sheet 5

G. FLOW RESTRICTOR REMOVAL CHARGE

(N)

The charge for removal of a flow-restricting device and/or reconnecting water service shall be:

Connection Size	Removal Charges
5/8" to 1"	\$150.00
1-1/2" to 2"	\$200
3" and larger	Actual Cost

H. SPECIAL CONDITIONS:

1. The Tier 2 advice letter requesting activation of any Enforcement Stage of Schedule 14.1 shall include documentation of the overall water shortage justifying activation of that particular stage.
2. This tariff schedule shall remain in effect until the utility files a Tier 1 advice letter to deactivate specific stage of Water Shortage Contingency Plan and such is authorized by the Commission.
3. Water use violation fines must be separately identified on each bill.
4. Water penalty surcharges must be separately identified on each bill.
5. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
6. All monies collected by the utility through drought surcharges or penalties or fees for water use violations shall be booked to the Water Revenue Adjustment Mechanism (WRAM) or a memorandum account to offset recovery of lost revenues. All flow restrictor removal charges collected by the utility and all expenses incurred by the utility to implement Rule 14.1 and Schedule 14.1, and the requirements of the California State Water Board Resources Control Board ("SWRCB"), or other agencies, that have not been considered in a General Rate Case or other proceeding, shall be tracked in a memorandum account for disposition as directed or authorized from time to time by the Commission and shall be recoverable by the utility if determined to be reasonable by the Commission.

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SCHEDULE NO. 14.1 - MDB
WATER SHORTAGE CONTINGENCY PLAN
MEADOWBROOK SERVICE AREA

Sheet 1

A. APPLICABILITY

1. This schedule applies to all water customers served under all tariff schedules authorized by the Commission for Meadowbrook Service Area. It is only effective in times of implementation of the Water Shortage Contingency Plan enforcement stages, as required by Rule No. 14.1, and only for the period noted in the Special Conditions section below.
2. This Schedule shall remain dormant until activated by Commission authorization via a Tier 2 advice letter.
3. Once the Schedule is activated, utility can implement Stages of the Schedule by filing a Tier 2 advice letter
4. When this schedule is activated, it shall remain in effect until the utility files a Tier 1 advice letter to deactivate a specific stage of the Water Shortage Contingency Plan and such is authorized by the Commission.

B. TERRITORY

1. This Schedule applies to all customers in the Meadowbrook Service Area. All other customers served by California-American Water Company are excluded from this particular tariff, but are included in separate and distinct Water Shortage Contingency Plans.

C. STAGES

1. Stage 1 of the Water Shortage Contingency Plan enacts water conservation requirements established in Rule 14.1 Section D. The non-essential or unauthorized water uses in Section D are in effect at all times.
2. Stage 2 First Enforcement Stage of the Water Shortage Contingency Plan - A Stage 2 Water Shortage Contingency Plan condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 2 of the Water Shortage Contingency Plan will be enacted upon a determination that water usage should be further reduced from current levels, that a temporary water emergency exists necessitating implementation or that the requirements of Stage 1 are ineffective in complying with the necessary reduction.
3. Stage 3 Second Enforcement Stage of the Water Shortage Contingency Plan - A Stage 3 Water Shortage Contingency Plan Condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 3 will be enacted upon a determination that water usage should be reduced further from current levels, that a temporary water emergency exists necessitating implementation or that the requirements in Stages 1 and 2 are ineffective in complying with the necessary reduction.

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SCHEDULE NO. 14.1 - MDB
 WATER SHORTAGE CONTINGENCY PLAN
MEADOWBROOK SERVICE AREA

Sheet 2

C. STAGES

4. Stage 4 Third Enforcement Stage of the Water Shortage Contingency Plan - A Stage 4 Water Shortage Contingency Plan condition is also referred to as an "Emergency" condition. A Stage 4 condition exists when it is determined that a critical water shortage emergency exists, or that the measures in Stages 1 through 3 are ineffective in complying with a necessary reduction.
5. Stage 5 Mandatory Rationing. A rationing plan will be implemented when it is determined that the efforts in Stage 4 are insufficient to meet the regulatory or physical limitations of the available water supply.

D. WATER USE VIOLATION FINE

1. When an Enforcement Stage of the Water Shortage Contingency Plan has been activated by Commission authorization, the water use restrictions of Stage 1 in the Water Shortage Contingency Plan in Section D of Rule 14.1 become subject to fines and penalties imposed by the utility. The utility will first work closely with local law enforcement and public agencies charged with enforcing the mandatory water use restrictions. However, should the utility find that the local agency is not effectively enforcing the mandatory use restrictions, the utility, after written warnings, such as door hangers and letters, may begin to issue fines. If a customer is seen violating the water use restrictions, as outlined in Rule No. 14.1 and the Special Conditions below, the customer will be subject to the following fine structure:
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**SCHEDULE NO. 14.1 - MDB
WATER SHORTAGE CONTINGENCY PLAN
MEADOWBROOK SERVICE AREA**

Sheet 4

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 WATER SHORTAGE CONTINGENCY PLAN
MEADOWBROOK SERVICE AREA

Sheet 5

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California Assistance Program (CAP)		
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CAP Denial Letter in English	9986-W	(L)
CAP Denial Letter in Spanish	9987-W	(L)
CAP Opt Out Cover Letter in English	9988-W	(L)
CAP Opt Out Cover Letter in Spanish	9989-W	(L)
CAP Re-Enrollment Letter in English	9990-W	(L)
CAP Re-Enrollment Letter in Spanish	9991-W	(L)
CAP Resubmit Application in English	9992-W	(L)
CAP Resubmit Application in Spanish	9993-W	(L)

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<u>SUBJECT MATTER OF SHEET</u>	<u>C.P.U.C. SHEET NO.</u>	
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Service Verification	4102-W	(L)
Third Party Notification	4105-W	(L)
Vacant House – Water Running	4101-W	(L)
Water Usage – No Customer	10153-W	(L)
Los Angeles County District Only		
<i>(Baldwin Hills Service Area)</i>	4007-W	
Annual Bill Calculation Insert		
<i>(Duarte Service Area)</i>	4008-W	
Annual Bill Calculation Insert		
<i>(San Marino Service Area)</i>	4009-W	
Annual Bill Calculation Insert		
Monterey County District Only		
Form EI-M1 – Emergency Interconnection Agreement – New Service	1971-W	
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Annual Bill Calculation Insert	4010-W	
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<i>(Ambler Park Service Area)</i>		
Annual Bill Calculation Insert	4011-W	
<i>(Bishop Service Area)</i>		
Annual Bill Calculation Insert	4012-W	
<i>(Highway 68 Corridor Service Area)</i>		
Annual Bill Calculation Insert	4013-W	
San Diego County District Only		
Form No. 9-SD – San Diego County Application for Water Service	869-W	
Annual Bill Calculation Insert	4006-W	
Ventura County District Only		
Form No. VN-FP – Application for Fire Protection Service	2912-W	
Form No. VN-SC1 – Application for Special Connection	2928-W	
Annual Bill Calculation Insert	4014-W	

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<u>All Areas:</u>		
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Service Shut Off Notice In English	7231-W	(L)
Service Shut Off Notice in Spanish	7232-W	(L)
Shut-Off – Hot Water Heater Inactive	4068-W	(L)
Three Day Shut Off Notice	8861-W	(L)
Final Shut Off Notice	8864-W	(L)
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Leak Adjustment Denied – Low Volume	8586-W	
Leak Adjustment Denied – Not Residential Customer	8587-W	
Leak Adjustment Request – Need Receipts	10135-W	
Leak Adjustment Denied – High Volume Not Due to Leak	8590-W	
Leak Found by Company	10137-W	
Leak Found – Water Shut-Off	8592-W	
Water Line Break Apology	4055-W	
Leak Adjustment Request Form	10136-W	
Loss of Water Adjustment Request Form	8584-W	
Adjustment Denial Letter	10121-W	
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Credit Letter	10127-W	
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Customer Request – Remove Fluoride	8046-W	
Customer Notice – Service Verification	10128-W	
Customer Notice – Tampered with Meter Device	10129-W	
Inform Customer – High Consumption	10132-W, 10133-W	
Landlord Service Notice	4078-W	
Main Flushing Program	4064-W	
Medical Certificate Letter	10138-W	
Need Customer Information	8056-W	
Need Access – Inaccessible Meter	10143-W	
Need Access – No Reading ILK	10144-W	
Notice of Company Posting Error to Your Account	4090-W	
Notice of Water System Upgrade	4083-W	
Notice of Customers (English)	7242-W	
Notice of Customers (Spanish)	7243-W	
Notice of Meter Tampering	4084-W	
Request for Taxpayer ID Number	4099-W	
Returned Mail Notice	8057-W	

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Confidential and Non-Disclosure Agreement, Page 2	5940-W	(L)
Confidential and Non-Disclosure Agreement, Page 3	5941-W	(L)
Confidential and Non-Disclosure Agreement, Page 4	5942-W	(L)
Connection Fee Data Form	2158-W	(L)
Form 73 – Application for Special Connection	4978-W	(L)
Form 73A – Application for Special Connection (Addendum)	4979-W	(L)
Form 73B – Application for Fire Protection Service	2911-W	(L)
Form 74 – Shopping Center Agreement	1195-W	(L)
Form 74B – Real Estate Development Agreement	2213-W	(L)
Form 75 – Apartment/Condominium Complex Agreement	1306-W	(L)
Form EI-1 – Emergency Interconnection Agreement- New Service	1969-W	
Form EI-2 – Emergency Interconnection Agreement- Existing Interconnection	1970-W	
Form No. 15 – Main Extension Contract - Individuals	3014-W	
Form No. 16 – Main Extension Contract	4977-W	
Form No. 17 – Indemnity Agreement for Income Tax Component of Contributions	1783-W	
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Property Owner/Rental Agent Agreement	10151-W, 10152-W	
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Check Meter – No Leak Detected	8040-W	
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SACRAMENTO DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1370

BY MAIL:

	Walt Shannon 8356 Auberry Drive Sacramento, CA 95828	Robert A. Ryan, Jr. County of Sacramento Downtown Office 700 H Street, Suite 2650 Sacramento, CA 95814 Mark Norris County Clerk-Recorder County of Sacramento 600 8th Street Sacramento, CA 95814
Carol Smith 6241 Cavan Drive, 3 Citrus Heights, CA 95621	Sacramento County WMD 827 7th Street, Room 301 Sacramento, CA 95814	
Steven J. Thompson 5224 Altana Way Sacramento, CA 95841	Fruitridge Vista Water Company P.O. Box 959 Sacramento, CA 95812	Robert C. Baptiste 9397 Tucumcari Way Sacramento, CA 95827-1045
Anthony La Bouff, County Counsel Placer County 175 Fulweiler Avenue Auburn, CA 95603	Rio Linda Water District 730 L Street Rio Linda, CA 95673	
	Richard Rauschmeier California Public Utilities Commission DRA - Water Branch, Rm 4209 505 Van Ness Ave San Francisco, CA 94102 rra@cpuc.ca.gov	Heather Hernandez Sacramento Suburban Water District 3701 Marconi Avenue, Suite 100 Sacramento, CA 95821-5303 HHernandez@sswd.org
<u>BY E-MAIL:</u>		
Division of Ratepayer Advocates California Public Utilities Commission dra_water_al@cpuc.ca.gov	City of Sacramento, Water Division 1391 35th Avenue Sacramento, CA 95822 dsherry@cityofsacramento.com	Marvin Philo 3021 Nikol Street Sacramento, CA 95826 mhphilo@aol.com
Citrus Heights Water District 6230 Sylvan Road Citrus Heights, CA 95610 rchurch@chwd.org	Amy Van, City Clerk City of Citrus Heights 6237 Fountain Square Drive Citrus Heights, CA 95621 avan@citrusheights.net	Jim McCauley, Clerk-Recorder Placer County 2954 Richardson Drive Auburn, CA 95603 skasza@placer.ca.gov
Florin County Water District P.O. Box 292055 Sacramento, CA 95829 fcwd@sbcglobal.net	Linda Garcia, City Clerk City of Isleton P.O. Box 716 Isleton, CA 95641 lgarcia@cityofisleton.com	Placer County Water Agency Customer Service Department customerservices@pcwa.net